

MIFID II – IMPACTS ON YOUR LEGAL DOCUMENTATION

DO YOU NEED HELP?

When MiFID came into force in 2007, the changes introduced meant it was an expensive and labour intensive undertaking for many firms to ensure compliance. We know that the MiFID II focus on effective systems and controls means that this time round will not be any different. The changes that MiFID II brings will require firms to update many of their standard documents: a costly and time-consuming process.

In light of this, we have been preparing to help firms transition into the new legislative regime, ahead of the implementation of MiFID II. We have achieved this by keeping abreast with the changes that will be introduced and we understand the regulation inside out. We have identified areas of impact and ascertained how agreements will need to be amended.

OUR TEMPLATES

To assist firms, we have produced a suite of essential MiFID II amendment agreement templates that firms can purchase to simplify the legal exercise of updating their contracts:

Investment Management Agreements	£2,400
Investment Advisory Agreements	£1,900
Distribution Agreements	£2,400
Custody Agreements	£1,900
Commission Sharing Agreements	£2,250
Broker Terms of Business	£2,250

WHY PURCHASE?

MiFID II will introduce a number of key material changes to areas such as investment research, product governance, conflicts of interest and inducements. Quite simply, your contracts need to be up-to-date with the requirements from the new regulation and by purchasing our templates you can do this quickly and cost-effectively.

OFFER: 20% OFF WHEN PURCHASING THE PACKAGE!

For further information and assistance, please contact: vsmith@cleveland-co.com

CLEVELAND & CO

External in-house counsel

